

10-19-01

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Docket No. 0558-4017

Express Mail No. EL912005163US



27123

PATENT TRADEMARK OFFICE

10/18/01  
JC682 U.S. PTO

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**UTILITY APPLICATION AND FEE TRANSMITTAL §(1.53(b))**

11002 U.S. PTO  
09/982468  
10/18/01

Commissioner for Patents  
**Box Patent Application**  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- (1) DE FERRA, Lorenzo  
Viale delle Province, 6- 00162  
Rome, Italy
- (2) MASSARDO, Pietro  
Via Odoardo Beccari, 24 - 00154  
Rome, Italy

☐ Additional inventors are listed on a separate sheet

For: PURIFYING PROCESS FOR PHOSPHATIDYLSERINE

Enclosed Are:

- 8 page(s) of specification
  - 1 page(s) of Abstract
  - 2 page(s) of claims
  - 0 sheets of ☐ Formal ☐ Informal drawings
  - 3 page(s) of Declaration and Power of Attorney
- ☐ Unsigned
  - ☒ Newly Executed
  - ☐ Copy from prior application
  - ☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

09982468-101801



09982463-101801

- ☐ Information Disclosure Statement
- ☐ Copy of [ ] cited references
- ☐ PTO Form-1449
- ☐ References cited in parent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Related Case Statement under 37 C.F.R. §1.98(a)(2)(iii)
- ☐ A copy of related pending U.S. Application(s) Serial No(s): \_\_\_\_\_, filed \_\_\_\_\_, respectively, is attached hereto.
- ☐ A copy of related pending U.S. Application(s) entitled, \_\_\_\_\_, filed \_\_\_\_\_ to inventor(s) \_\_\_\_\_, respectively, is attached hereto.
- ☐ A copy of each related application(s) was submitted in parent application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. \_\_\_\_\_, filed \_\_\_\_\_, to which priority under 35 U.S.C. §120 is claimed.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application).
- ☐ The status of the parent application is as follows:
- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☐ Amend the specification by inserting before the first line the sentence:  
This is ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$740.00/370.00
Total Claims	15- 20 =	0 x	\$18.00/ \$9.00	\$ 370.00
Independent Claims	1- 3 =	0 x	\$84.00/ \$42.00	\$ 0.00
Multiple Dependent Claims		If marked, add fee of \$280.00 (\$140.00)		\$ 0.00
TOTAL:				\$ 370.00

- ☒ Small entity status is or has been claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$370.00.
- ☒ A check in the amount of \$370.00 in payment of the application filing fees is attached.
- ☐ Charge fee to Deposit Account No. 13-4500, Order No. 0558-4017. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, **including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency** with the parent application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 0558-4017. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: October 18, 2001

By: William S. Filer

William S. Filer

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): DE FERRA, et al

Group Art Unit: TBA

Serial No.: TBA

Examiner: TBA

Filed: October 18, 2001

For: PURIFYING PROCESS FOR PHOSPHATIDYLSERINE

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL912005163US

Date of Deposit: October 18, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal
2. Specification (8 pages), Abstract (1 page), Claims (2 pages)
3. Declaration and Power of Attorney (3 pages)
4. Assignment and Recordation Cover Sheet
5. Claim to Convention Priority
6. Certified Copy of Priority Document
7. Check for \$410.00
8. Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application Washington, D.C. 20231.

Joan E. Federici

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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